

EXHIBIT O

**IN THE UNITED STATES BANKRUPTCY COURT
FOR THE DISTRICT OF DELAWARE**

<p>In re:</p> <p>WOODBIDGE GROUP OF COMPANIES, LLC, <i>et al.</i>,¹</p> <p style="text-align: center;">Remaining Debtors.</p>	<p>Chapter 11</p> <p>Case No. 17-12560 (JKS)</p> <p>(Jointly Administered)</p>
<p>MICHAEL GOLDBERG, as Liquidating Trustee of the Woodbridge Liquidation Trust, successor in interest to the estate of Woodbridge Group of Companies, LLC, <i>et al.</i>,</p> <p style="text-align: center;">Plaintiff,</p> <p>v.</p> <p>(SEE <u>EXHIBIT 1</u> ATTACHED HERETO),</p> <p style="text-align: center;">Defendants.</p>	<p>Adv. Proc. Case Nos. (SEE <u>EXHIBIT 1</u>)</p> <p>Status Conference: May 19, 2021, at 9:00 a.m. (ET)</p>

**LIQUIDATION TRUST'S STATUS REPORT FOR
MAY 19, 2021 STATUS CONFERENCE**

The Woodbridge Liquidation Trust (the “Trust”), formed pursuant to the confirmed and effective *First Amended Joint Chapter 11 Plan of Liquidation of Woodbridge Group of Companies, LLC and its Affiliated Debtors* [Docket No. 2397] (the “Plan”)² in the jointly-administered chapter 11 bankruptcy cases (the “Cases”) of Woodbridge Group of Companies, LLC and its affiliated debtors and debtors in possession (collectively, the “Debtors”), hereby submits this status report (this “Status Report”) in advance of the May 19, 2021 status conference ordered by the Court in its April 13, 2021 order [Docket No. 4631].

¹ The Remaining Debtors and the last four digits of their respective federal tax identification numbers are as follows: Woodbridge Group of Companies, LLC (3603) and Woodbridge Mortgage Investment Fund 1, LLC (0172). The Remaining Debtors’ mailing address is 14140 Ventura Boulevard #302, Sherman Oaks, California 91423.

² Capitalized terms used but not otherwise defined in this Status Report have the meanings set forth in the Plan.

This Status Report is in two parts. Part 1, which is in narrative format, provides a detailed overview and history of the Debtors, the Cases, the Plan, and the Trust. Part 2, which is in chart format, addresses the status of each pending adversary proceeding before the Court.

I. PART ONE: NARRATIVE BACKGROUND

A. Pre-Bankruptcy History

1. These bankruptcy cases arise out of a massive, multi-year Ponzi scheme perpetrated by Robert Shapiro, the Debtors' founder, between (at least) 2012 and 2017. As part of this fraud, Shapiro, through the Debtor entities, raised over one billion dollars from approximately 10,000 investors—as either “Noteholders” or “Unitholders”—and used over \$400 million of new investor funds to pay existing investors—a typical characteristic of Ponzi schemes.

2. Rather than raise large sums from a few institutional investors, Shapiro instead focused his fundraising efforts on raising relatively small investments from many “mom and pop” investors, many of whom were elderly and entrusted a substantial portion (or all) of their life savings to Woodbridge. In fact, nearly \$400 million of the total funds invested in the Debtors came from funds in Individual Retirement Accounts (IRAs). Accordingly, severe economic harm in these Cases was acutely felt by individuals who could least afford it. The harm cannot be overstated, and manifested differently in each investor. Retirement accounts—slowly built over decades of work—were wiped out. Seniors reliant on monthly checks from Woodbridge to pay a mortgage or rent could no longer make those payments. Money earmarked for a relative's education instantly disappeared. Illnesses could no longer be adequately treated. The Trustee and his counsel received constant calls from investors telling these, and other, stories of their financial devastation. And, as described below, although recoveries have been

material, they have not come close to making investors whole—let alone compensating them for the years without access to, or returns from, their money.

3. Money was primarily raised through sales of two types of investments: (i) five-year private placement securities styled, marketed or sold as “units” in various Woodbridge funds (investors in these instruments are known as Unitholders), and (ii) debt securities due in 12 to 18 months and styled, marketed or sold as “notes” (investors in these instruments are known as Noteholders). While he was in control of the prepetition Debtors, Shapiro deceived these investors in order to obtain their money. He told investors that the money raised would be loaned by the Debtors to unrelated third parties (and, in the case of notes, secured by real property purchased by such third parties) at favorable loan-to-value ratios. Those third-party borrowers would then pay interest and principal to the Debtors (or else the Debtors would foreclose on the property), and the Debtors would, in turn, pay interest to the investors.

4. In reality, these were lies on a massive scale. Investors’ money was (with extremely few exceptions) *not* used to make high-interest loans to unrelated, third-party borrowers, and investors’ money was *not* used for the specific property that may have been identified in any particular investor’s documentation from the prepetition Debtors. Rather, Shapiro created disguised affiliates to which money obtained from investors was “loaned,” and those disguised affiliates (themselves Debtors as well) had no ability to service the debt. These affiliate “borrowers” purchased real properties, but had no source of cash to make monthly interest (or principal) payments, leaving the Debtors without any significant source of revenue to pay investors’ periodic interest.

5. Shapiro also used investor money to pay approximately \$64.5 million in commissions to sales agents who sold these fraudulent “investments” and used investor money to

pay at least \$21.2 million for Shapiro's personal benefit (including, for example, purchasing luxury items, travel, wine, and the like). Additionally and critically, in the absence of any meaningful cash inflows into the prepetition Debtors from sources other than investors, Shapiro and the prepetition Debtors, which he controlled, used over \$400 million of new investor funds to pay "interest" and "principal" to existing investors.

6. By late 2017, Shapiro was being investigated by the United States Securities and Exchange Commission (the "SEC") and numerous state regulatory agencies. As a result, Shapiro found it difficult to raise new investor money. The prepetition Debtors were reliant on money from new investors to make the payments promised to existing investors. When new investments dried up, the prepetition Debtors could no longer make these payments to existing investors, and therefore Shapiro's scheme quickly unraveled.

B. Bankruptcy Filings and Immediate Aftermath

7. Shapiro hired new outside managers for the prepetition Debtors on or about December 1, 2017, who commenced many of the Debtors' bankruptcy cases on December 4, 2017 (several additional Debtors' cases were filed over the ensuing months). Over the next several weeks, both the SEC and the Official Committee of Unsecured Creditors (the "Unsecured Creditors' Committee") filed motions seeking the appointment of a chapter 11 trustee, arguing that the new "independent" management team was "completely aligned [with Shapiro] in controlling this bankruptcy." Also around this time, certain groups of Noteholders and a group of Unitholders sought appointment of official committees of Noteholders and Unitholders, respectively.

8. On January 23, 2018, following several days of evidentiary hearings on the trustee motions, the Debtors, the Unsecured Creditors' Committee, the SEC, as well as groups of Noteholders and Unitholders, reached a global settlement. The settlement provided, among other

things, for the formation of a new board of managers (the “New Board”)—with no ties whatsoever to Shapiro—to govern the Debtors. The New Board consisted of M. Freddie Reiss, Michael Goldberg, and Richard Nevins. The New Board subsequently engaged Frederick Chin to serve as the Debtors’ Chief Executive Officer, and Bradley Sharp to serve as the Debtors’ Chief Restructuring Officer, and also retained new bankruptcy co-counsel for the Debtors. The settlement also provided for the formation of fiduciary committees of Noteholders and Unitholders (the “Noteholder Committee” and the “Unitholder Committee”) (in addition to the already-existing Unsecured Creditors’ Committee).

9. After implementation of the global settlement, the Debtors and their new management and advisors worked diligently and cooperatively with the three committees (the Unsecured Creditors’ Committee, the Noteholder Committee, and the Unitholder Committee) to formulate a plan that would return as much money as possible to investors, while ensuring that the Debtors’ funds were not squandered by years of litigation between and among the Debtors’ creditor constituencies over matters such as the priority of claims of Noteholders and Unitholders, as well as other unsecured creditors, relative to one another.

C. The Plan

10. Ultimately, the Debtors and the creditor constituencies reached a settlement, and implemented that settlement into the Plan, which was confirmed by the Court on October 26, 2018, and effectuated on February 15, 2019 (the “Effective Date”). The following paragraphs describe several of the most relevant provisions of the Plan.

11. ***First***, the Plan provided for the establishment of the Trust. The Trust was charged with liquidating the Liquidation Trust Assets for the benefit of its beneficiaries, which assets include various claims and causes of action that were either (i) formerly owned by the Debtors and vested in the Trust pursuant to the Plan or (ii) contributed by investors to the Trust as

“Contributed Claims” (as defined and explained later). The Trust’s purposes also include litigating or otherwise resolving claims filed against the Debtors, paying administrative and priority claims against the Debtors, and, in accordance with the waterfall prescribed by the Plan, making distributions of cash to the Trust’s beneficiaries. Michael Goldberg (who had previously served as a member of the New Board) was unanimously selected by all three creditor constituencies to serve as the Liquidation Trustee of the Trust. Mr. Goldberg has practiced law for approximately 30 years in the areas of fraud, recovery, bankruptcy and reorganizations. He holds Bachelor of Arts and Juris Doctor degrees from Boston University and a Master of Business Administration from New York University. Mr. Goldberg is the co-chair of Akerman LLP’s Fraud & Recovery Practice Group, a comprehensive fraud management team focusing on Ponzi schemes and EB-5 fraud. He is also a partner in the Bankruptcy Practice Group and previously served as its chair. Mr. Goldberg has managed some of the largest Ponzi scheme liquidation recoveries in U.S. history. More recently he has developed a reputation for his work unraveling EB-5 fraud schemes. Mr. Goldberg has served as court-appointed receiver in many cases over the past two decades, helping victims maximize potential returns by identifying, securing, and monetizing potential assets as quickly and efficiently as possible. He regularly lectures on Ponzi schemes, EB-5 fraud and receiverships and has written numerous articles on these topics. The Liquidation Trustee is subject to the supervision, to the extent set forth in the Plan, of the Liquidation Trust Supervisory Board, which currently consists of six members.

12. ***Second***, the Plan provided for the establishment of Woodbridge Wind-Down Entity LLC (the “Wind-Down Entity”) as a wholly owned subsidiary of the Trust. The Wind-Down Entity was formed to develop (as applicable), market and sell (via several subsidiaries of the Wind-Down Entity) the real-estate assets formerly owned by the Debtors to generate cash to

be remitted to the Trust, and has, indeed, been engaged in such activities since the Effective Date. The Board of Managers of the Wind-Down Entity consists of M. Freddie Reiss and Richard Nevins (both of whom had served on the Debtors' New Board), as well as Frederick Chin, who is also the Wind-Down Entity's CEO (and had served in that role for the Debtors).

13. **Third**, the Plan provided that holders of allowed claims in Classes 3, 4, and 5 under the Plan—consisting of former Noteholders (Class 3), former Unitholders (Class 5), and creditors holding other general unsecured claims (Class 4)—received beneficial interests in the Trust (or, “Trust Interests”) in exchange for such allowed claims, which Trust Interests entitle them to share in any distributions of cash from the Trust. The Trust granted both “Class A” Trust Interests and “Class B” Trust Interests. Holders of allowed claims in Classes 3 and 4 received one (1) Class A Trust Interest for every \$75.00 of their allowed claims. Pursuant to a compromise in the Plan (of a legal question regarding whether the instrument held by Unitholders was a “claim” or “equity”), Unitholders were granted 72.5% of one (1) Class A Trust Interest and 27.5% of one (1) Class B Trust Interest for every \$75.00 of allowed claims.³

14. **Fourth**, the Plan provides for available cash to be distributed first on account of Class A Trust Interests on a *pro rata* basis until all Class A Trust Interests have been “paid in full”—in other words, until \$75.00 has been distributed on account of each Class A Trust Interest. Thereafter, available cash may be distributed on account of Class B Trust Interests.

15. **Fifth**, the Plan incorporated a “netting” mechanism for Noteholder and Unitholder claims. Under this system, both Noteholders and Unitholders received Trust Interests based on their “net” claims. The netting was achieved by reducing the gross amount of each

³ Accordingly, for example, a Noteholder with \$75,000 in allowed claims would have received 1000 Class A Trust Interests, whereas a Unitholder with the same \$75,000 in allowed claims would have received only 725 Class A Trust Interests, but would also receive 275 Class B Trust Interests.

Noteholder or Unitholder allowed claim by the aggregate amount of all pre-bankruptcy distributions received by the Noteholder or Unitholder (other than return of principal, as any such returned principal amounts would not have been part of the face amount of an investor's claim). For instance, a Noteholder holding a note with a face amount of \$100,000 who received \$10,000 in "interest" before the bankruptcy would be deemed to hold a "net" note claim of \$90,000. Such a noteholder would be deemed to have an allowed claim of \$90,000 (rather than \$100,000) and would receive Class A Trust Interests corresponding to that \$90,000 claim.

16. *Sixth*, the Plan offered Noteholders and Unitholders the option (by making the appropriate election on such investor's Plan ballot) to assign certain Woodbridge-related causes of action against third parties held by such Noteholder or Unitholder—for example, against brokers, banks, or financial advisors (among others)—to the Trust (the "Contributed Claims"), for prosecution by the Trust in the Trust's sole discretion. Any investor making such election (each, a "Contributing Claimant") agreed that (i) it had been deemed to contribute its Contributed Claims to the Trust, (ii) it would no longer be permitted to pursue such Contributed Claims on its own individual behalf, and (iii) any recovery by the Trust on such Contributed Claims would be an asset of the Trust for distribution to all Trust beneficiaries.

17. Under the Plan, each Contributing Claimant received the "Contributing Claimants Enhancement Multiplier"—that is, a 5% enhancement of such claimant's allowed claim. This enhancement was applied to any Contributing Claimant's allowed claim before conversion of that claim to Trust Interests. For example, a Noteholder with \$300,000 of allowed net note claims who did not elect to be a "Contributing Claimant" would have received four thousand (4,000) Class A Trust Interests ($\$300,000 / \$75 = 4,000$). By contrast, a Noteholder with \$300,000 of allowed net note claims who did elect to be a "Contributing Claimant" would have

first (before conversion to Trust Interests) had its \$300,000 claim multiplied by 105%, for an enhanced claim of \$315,000. The enhanced \$315,000 claim would then have been converted to 4,200 Class A Trust Interests ($\$315,000 / \$75 = 4,200$). Approximately 59% of investors elected to contribute their Contributed Claims.

D. Post-Effective Date Activities and Litigation

18. Since the Effective Date of the Plan, the Trust and the Wind-Down Entity have been working to liquidate their assets for the benefit of the Trust's beneficiaries, including by (i) developing, marketing, and selling real properties and (ii) pursuing litigation as described below. The Trust has also been working to resolve claims filed against the Debtors, and has filed thirty-three omnibus objections, as well as numerous standalone objections, which have resolved well over 3,000 claims. In addition, the Trust has effectuated the registration with the SEC of the Class A Trust Interests and obtained approval from the Depository Trust Corporation (the "DTC") to make such Trust Interests eligible for the DTC's direct registration system services.⁴ Since that time, the Trust has been filing periodic reports with the SEC, including quarterly Form 10-Q reports at the end of each fiscal quarter and an annual 10-K report at the end of the Trust's fiscal year.

19. As of the filing of this Status Report, the Trust has made six (6) distributions of excess cash, for a total return of \$19.77 per Class A Trust Interest. There have been no distributions on Class B shares. The distributions to date equate to the following percentage recoveries on the allowed claims of Classes 3, 4, and 5:

⁴ The Plan had provided that the "Trust shall use its commercially reasonable best efforts to cause an Exchange Act Registration of the Class A Liquidation Trust Interests to become effective, and for the Class A Liquidation Trust Interests to be quoted with an OTC ticker symbol, as soon as reasonably practicable after the Effective Date." There is no similar requirement with respect to the Class B Trust Interests.

Class 3 (contributing)	27.68%
Class 3 (non-contributing)	26.36%
Class 4	26.36%
Class 5 (contributing)	20.07%
Class 5 (non-contributing)	19.11%

20. As set forth in Part II of this Status Report, the Trust is plaintiff in 260 adversary proceedings seeking recovery of preferences and/or fraudulent transfer. The Trust has also already resolved over 200 such actions by settlement or judgment. In addition to the legal proceedings listed in Part II, the Trust is also involved in several legal actions pending in other courts.

21. There are two pending actions against Comerica Bank, the institution at which the Debtors maintained all of their bank accounts, alleging various causes of action. *In re Woodbridge Investments Litigation*, Case No. 2:18-cv-00103-DMG-MRW (C.D. Cal.), is a consolidated class action in the United States District Court for the Central District of California brought on behalf of former Noteholders and Unitholders against Comerica Bank. It is comprised of five separate lawsuits filed between January 4, 2018 and April 26, 2018. The five lawsuits were consolidated, lead class counsel was appointed, and filed a consolidated class action complaint on September 19, 2019. On April 16, 2021, the plaintiffs moved for class certification. The Trustee asserts that he is a member of the putative class on account of the Trust having taken assignment of the Contributed Claims of thousands of Noteholders and Unitholders, which Contributed Claims include claims against Comerica. Comerica disputes that assertion.

22. *Goldberg vs. Comerica Bank*, Adv. Pro. No. 20-ap-50452-BLS (Bankr. D. Del., originally filed Apr. 26, 2019 in California and transferred on February 5, 2020 to Delaware), is an action by the Trust against Comerica Bank alleging fraudulent transfer liability under the

California Civil Code. The Trust's complaint also incorporates the claims asserted against Comerica Bank in the class action (referenced in the preceding paragraph) to the extent that such claims are ultimately determined to belong to the Trust rather than to individual former Noteholders and Unitholders or the Trust is not included in the class. On December 18, 2020, the Bankruptcy Court approved a stipulation staying the action until one of the parties or the court determines to vacate the stay.

23. *Goldberg v. Halloran & Sage LLP, et al.*, Case No. 19STCV42900 (Cal. Super. Ct., L.A. Cnty., filed Dec. 2, 2019), is an action by the Trust in the California Superior Court (the "State Court") against nine law firms and ten individual attorneys for conduct in connection with their representation of Robert Shapiro, the Debtors or their affiliates before the commencement of the Cases, as well as against up to 100 "Doe" defendants. The conduct challenged in the complaint includes knowingly and/or negligently preparing loan documents and investment agreements with material misstatements and omissions, designing deceptive securities products, preparing incorrect legal opinion memoranda on which investors relied, and assisting in the creation of nominally third-party borrower entities that were in fact controlled by Robert Shapiro. As of the date hereof, several defendants have been dismissed by the State Court on either "Anti-SLAPP" or jurisdictional bases, and the Trust has appealed certain of those dismissals. The balance of the case remains stayed pending those appeals. The Trust has reached settlements with three defendants in this litigation. The Trust also filed a federal lawsuit against certain of the defendants that had been dismissed from the State Court action on jurisdictional bases. The conduct challenged in the complaint includes certain of the same conduct challenged in the State Court. The federal court recently granted motions to dismiss this lawsuit, and the Trust is considering its appellate options.

24. *Criminal Proceeding and Forfeiture.* In connection with the United States’ criminal case against Robert Shapiro (Case No. No. 19-20178-CR-ALTONAGA (S.D. Fla. 2019)), Robert Shapiro (and his wife, Jeri) agreed to the forfeiture of certain assets (the “Forfeited Assets”). The Trust filed a petition in the Florida court to claim the Forfeited Assets as property of the Debtors’ estates, and therefore as property that had vested in the Trust pursuant to the Plan. The Trust entered into an agreement with the United States Department of Justice to resolve its claim, which agreement was approved by the Bankruptcy Court on September 17, 2020 and was approved by the United States District Court on October 1, 2020. Among other things, the agreement provides for the release of specified forfeited assets by the United States to the Trust, and for the Trust to liquidate those assets and distribute the net sale proceeds to “Qualifying Victims,” which include the vast majority of Trust beneficiaries—specifically, all former holders of Class 3 and 5 claims and their permitted assigns—but do not include former holders of Class 4 claims. The Trust has taken possession of most of the Forfeited Assets, and is working with the Department of Justice to obtain possession of the remainder of the Forfeited Assets. Shapiro was sentenced to 25 years in federal prison, and is currently serving that sentence.

Dated: May 5, 2021
Wilmington, Delaware

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PART II – CHART OF PENDING ADVERSARY PROCEEDINGS

BRIEF SUMMARY OF ADVERSARY CASES:

Number of adversary proceedings filed: 470

Number of adversary proceedings closed/dismissed: 210

Number of active adversary proceedings: 260

STATUS CATEGORY B: List all cases where service is complete, but answers are still due. Provide answer due date.

Defendant(s)	Adv. Case No.	Comment
Comerica Bank v. Beynon Family Trust, et al.	18-50382	On August 15, 2019, the Court entered an Order granting the Defendants' abstention motion and dismissing the complaint without prejudice. <i>See</i> Adv. Docket No. 36.
Dane R. Roseman (a/k/a Dayne R. Roseman) and Precise Investment Group, LLC	19-50695	This proceeding is being held in abeyance until the earlier of (i) entry of final judgment in Roseman's criminal case, and (ii) July 30, 2021. <i>See</i> Adv. Docket No. 13.
Comerica Bank	20-50452	On December 18, 2020, the Court approved a stipulation staying the action until one of the parties or the Court determines to vacate the stay.

STATUS CATEGORY C: List all cases where service is complete, no answer has been filed, and Plaintiff is making a motion or request for Default Judgment.

Defendant(s)	Adv. Case No.	Comment
Thomas H. Haag, Joanne P. Haag	19-50320	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Ascensus, LLC, Custodian for the Benefit of Claro Chen IRA, Claro Chen	19-50558	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Mainstar Trust, Custodian for the Benefit of Diana Sehl, Diana Sehl	19-50564	A Motion for Default Judgment has been filed with the Court and is scheduled for the 5/19/21 hearing.
Mainstar Trust, Custodian for the Benefit of Daniel K. Gwinn, Daniel K. Gwinn	19-50571	A Motion for Default Judgment has been filed with the Court and is scheduled for the 5/19/21 hearing.
IRA Services Trust Company, Custodian for the Benefit of Michael D. Loring IRA, Michael D Loring	19-50597	A Motion for Default Judgment has been filed with the Court and is scheduled for the 5/19/21 hearing.
Ascensus, LLC, Custodian for the Benefit of Catherine Williams IRA, Catherine Williams	19-50606	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Ascensus, LLC, Custodian for the Benefit of Elizabeth A. Janovsky IRA, Elizabeth A. Janovsky	19-50700	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Jose Reta	19-50755	Plaintiff intends to file a request for entry of default.
Thomas V. Rasmussen, in his Capacity as Trustee of the Thomas V. & Georgia S. Rasmussen Family Living Trust, Thomas V Rasmussen, Georgia S Rasmussen	19-50757	A Motion for Default Judgment has been filed with the Court and is scheduled for the 5/19/21 hearing.
Raymond M. Chambers, Sarah E. Chambers	19-50777	Plaintiff intends to file a request for entry of default.
Robert W. Haskins, Neoma F. Haskins	19-50779	Plaintiff intends to file a request for entry of default.
Kenneth R. Carberry	19-50787	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Roderick P. Fries	19-50789	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
John M. Schroeder	19-50790	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Del Wittler	19-50791	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.

Defendant(s)	Adv. Case No.	Comment
Mainstar Trust, Custodian for the Benefit of Teri Lambertz, Teri Lambertz	19-50793	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Alan Mickelson	19-50803	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Katherine Vander Werff, in her capacity as Trustee of the Peter & Katherine Vander Werff Revocable Trust; Peter Vander Werff; Katherine Vander Werff	19-50804	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Ramsay McCue	19-50805	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Dolores Schulze, in her capacity as Trustee of The Schulze Family Revocable Living Trust Agreement Dated 05/26/05; Dolores Schulze	19-50807	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Gwendolyn Bisette	19-50815	Plaintiff intends to file a request for entry of default.
Frances Fernandez	19-50816	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Helen S. Fong	19-50818	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
IRA Services Trust Company, Custodian For The Benefit of Bret J. Parent IRA, Bret J. Parent	19-50821	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Rebecca K Wittler	19-50822	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Karen I Clara	19-50824	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Ascensus, LLC d/b/a Provident Trust Group, Custodian for the Benefit of Angela Chatham IRA, Angela Chatham	19-50828	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Barbara Lois Feldman	19-50833	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Ascensus, LLC d/b/a Provident Trust Group, Custodian for the Benefit of Clifton Hartley IRA, Clifton Hartley	19-50834	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Ascensus, LLC d/b/a Provident Trust Group, Custodian for the Benefit of	19-50835	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.

Defendant(s)	Adv. Case No.	Comment
Martha C. Maclean Roth IRA, Martha C. Maclean		
Heidi M Pilant	19-50841	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Anna Santacroce	19-50844	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Logan Turrentine	19-50845	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Karen Vlasak	19-50846	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Ian Rubin Insurance Agency, Inc.	19-50863	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Ascensus, LLC d/b/a Provident Trust Group, Custodian for the Benefit of Thomas A. Piazza IRA, Thomas A Piazza	19-50867	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
I. Cleveland Huff, in his Capacity as Trustee of the Huff Irrevocable Trust Dated 12/10/12, I Cleveland Huff	19-50868	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Judith L. Werner, in her Capacity as Trustee of the Werner Family Living Trust 03/02/00, Michael P. Werner, in his Capacity as Trustee of the Werner Family Living Trust 03/02/00, Judith L. Werner, Michael P. Werner	19-50871	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Gilchrist Metal Fabricating Co., Inc.	19-50874	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Lavinia Mitrea, Daniel Mitrea	19-50876	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Donald Abney, Lee Ann Abney	19-50881	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Billy McNeese, Sally McNeese	19-50883	Plaintiff intends to file a request for default judgment.
IALT Enhanced Income Portfolio 1 LLC, IALT Portfolio Management, LLC, Devon Mason	19-50895	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Martin Schneider	19-50903	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.

Defendant(s)	Adv. Case No.	Comment
IRA Services Trust Company, Custodian for the Benefit of Harold L. Lustig IRA; Harold L. Lustig	19-50910	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
JRH Marketing, Inc.	19-50916	A Motion for Default Judgment has been filed with the Court and is scheduled for the 5/19/21 hearing.
Life Plan Advisors Inc.	19-50924	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Bradford Solutions, LLC, Marcus Bray	19-50925	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Christopher Longworth	19-50928	Plaintiff intends to file a request for entry of default.
Annua Group LLC	19-50930	Plaintiff intends to file a request for entry of default.
Old Security Financial Group Inc.	19-50933	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Prestige Insurance Services, LLC	19-50934	A Motion for Default Judgment has been filed with the Court and is scheduled for the 5/19/21 hearing.
Thomas Doherty	19-50936	A Motion for Default Judgment has been filed with the Court and is scheduled for the 5/19/21 hearing.
Vlchetr Thong	19-50937	A Motion for Default Judgment has been filed with the Court and is scheduled for the 5/19/21 hearing.
David Johnston	19-50943	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Eric Little	19-50944	Plaintiff intends to file a request for entry of default.
Dayspring Advisors Group LLC	19-50948	Plaintiff intends to file a request for entry of default.
Gary L. Burke	19-50954	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Morgan J. Commodore	19-50957	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Patrick Gatbonton	19-50959	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Integrity Plus Consulting, Inc., Sean P. Renninger	19-50960	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.

Defendant(s)	Adv. Case No.	Comment
Jeffrey DeAngelis	19-50962	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Peter Derienzo	19-50963	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Talbert Wealth; Steven Glick	19-50967	The Trustee will withdraw the request for entry of default and request the Court to appoint a mediator.
Ascensus, LLC d/b/a Provident Trust Group, Custodian for the Benefit of Geneva W. Guilbeaux IRA; Diana Engelhardt, Solely in her Capacity as Executrix to the Estate of Geneva W. Guilbeaux; Diana Engel Solely in her Capacity as Executrix to the Estate of Charles D. Guilbeaux	19-50968	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
RH Principled Investments, and Raymond Han	19-50970	Plaintiff intends to file a request for entry of default.
Thomas Masztak	19-50971	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Jacob A. Weiss	19-50972	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
David Roitfarb	19-50975	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Albert Payne	19-50982	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
The Three Fourteen Company, Andres Pina	19-50983	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Gregory Neal Johnson	19-50985	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Jacqueline Suarez	19-50986	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Shanoid A. Mays	19-50993	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Queen B Services	19-50995	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.

Defendant(s)	Adv. Case No.	Comment
Reliant Group 360 Corp.	19-50996	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
John E. McEnerney	19-50999	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Bruce Moore	19-51009	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Gene H. Langenberg	19-51013	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
William Deaton	19-51019	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Security Financial, LLC, Ameritrust Advisors of SC, LLC	19-51020	Plaintiff intends to file a request for entry of default.
Michael Robinson	19-51021	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Roxanne Trent	19-51028	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Security First Financial, LLC, Jerald Kagarise	19-51030	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
JP Snyder, Inc.	19-51035	A Motion for Default Judgment has been filed with the Court and is scheduled for the 5/19/21 hearing.
Alternative Portfolio Solutions LLC and Richard Renshaw	19-51036	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Annuity Alternatives of America, LLC	19-51037	Plaintiff intends to file a request for entry of default.
Glen D. Barnes	19-51038	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Jace T. McDonald, Adams EZ Tax, LLC	19-51040	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Barbara A. Weiser, Jay R. Weiser	19-51051	An entry of default was entered by the Clerk on 7/2/20; on 10/19/20 defendants filed an Answer.
Ascensus, LLC, Administrator and Custodian for the Benefit of Qun Hong Yin Roth IRA, Qun Hong Yin, Qun Hong Yin, as Trustee of the	19-51052	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.

Defendant(s)	Adv. Case No.	Comment
Qun Hong Domissy Yin Living Trust		
Floyd E. Powell	19-51056	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Faithway Financial Solutions LLC	19-51057	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Tangible Assets Investments, LLC, Charles Thorngren	19-51058	Plaintiff intends to file a request for entry of default.
American Prosperity LLC, Taylor Ogden	19-51060	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Shelburne Management, LLC, Dennis Carpenter	19-51061	No answer filed. Defendant agreed to consent judgment.
Sesco Benefit Services, Inc., Peter Holler	19-51064	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
BCM Benefits Inc., Rance Bradshaw	19-51065	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
S.J. Financial Services, L.L.C., Glenn Johnson	19-51068	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Forecast Financial Group LLC, Forrest Financial LLC, Gary Forrest	19-51070	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Eduardo G. Diaz, Diaz Retirement Consultants	19-51071	Plaintiff intends to file a request for entry of default.
Robert Shapiro, Jeri Shapiro, 3X A Charm, LLC, Carbondale Basalt Owners, LLC, Davanna Sherman Oaks Owners, LLC, In Trend Staging, LLC, Midland Loop Enterprises, LLC, Schwartz Media Buying Company, LLC, Stover Real Estate Partners, LLC	19-51076	Plaintiff and defendant Jeri Shapiro are currently negotiating the terms of a stipulated judgment. Plaintiff will seek entry of default against the remaining defendants.
Scott Schwartz, Up and Coming Capital, LLC, Up and Coming, LLC	19-51078	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
Albert D. Klager, Atlantic Insurance & Financial Services Inc.	19-51079	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.
FIC, LLC	19-51140	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.

Defendant(s)	Adv. Case No.	Comment
Kevin Martin	19-51144	An entry of default has been entered by the Clerk and Plaintiff intends to file a request for default judgment.

STATUS CATEGORY E: List all cases that have settled or resolved, but a notice or stipulation of dismissal cannot yet be filed.

Defendant(s)	Adv. Case No.	Comment
Wendel, et al.	18-50818	This matter has been settled. Plaintiff intends to file a dismissal once the settlement payment has been received in full.
Paula Rinkovsky	19-50304	This matter has been settled. Plaintiff intends to file a dismissal once the settlement payment has been received in full.
Russell Bullis, Betsy Bullis	19-50310	This matter has been settled. Plaintiff intends to file a dismissal once the settlement payment has been received in full.
Aaron R. Andrew, Paramount Financial Services, Inc. d/b/a Live Abundant	19-50186	This matter has been settled. Plaintiff intends to file a dismissal.
Ascensus, LLC, Custodian for the Benefit of Donald L. Engle Jr. IRA, Donald L. Engle Jr.	19-50566	This matter has been settled. Plaintiff intends to file a dismissal.
Ascensus, LLC, Custodian for the Benefit of Marie Walters-Gill IRA, Marie Walters-Gill	19-50600	This matter has been settled. Plaintiff intends to file a dismissal once the settlement payment has been received in full.
Sumner Abramson, in his capacity as Trustee of the Sumner Abramson Revocable Living Trust, Sumner Abramson	19-50740	The Court entered an Order for Default Judgement on 4/5/21. This matter is now settled. Plaintiff intends to file a dismissal.
Anthony Arthur Meola Jr., in his capacity as Trustee of the Anthony Arthur Meola Jr. 2008 Trust, Anthony Arthur Meola Jr.	19-50741	This matter has been settled. Plaintiff intends to file a dismissal.
Terry B. Griffin, in his capacity as Trustee of the Griffin Family Trust, Terry B. Griffin	19-50744	This matter has been settled. Plaintiff intends to file a dismissal once the settlement payment has been received in full.
Mainstar Trust, Custodian for the Benefit of Ronald R. Smith, Ronald R Smith	19-50751	This matter has been settled. Plaintiff intends to file a dismissal once the settlement payment has been received in full.

Defendant(s)	Adv. Case No.	Comment
Provident Trust Group, LLC, Custodian for the Benefit of John B. Smith IRA, John B Smith	19-50760	This matter has been settled. Plaintiff intends to file a dismissal.
Mainstar Trust, Custodian for the Benefit of Sherry L. Collver, Sherry L. Collver	19-50794	This matter has been settled. Plaintiff intends to file a dismissal.
IRA Services Trust Company, Custodian For The Benefit of Vicki Andren IRA, Vicki Andren	19-50813	This matter has been settled. Plaintiff intends to file a dismissal once the settlement payment has been received in full.
Ascensus, LLC d/b/a Provident Trust Group, Custodian for the Benefit of Sharon R. Ferry IRA, Sharon R. Ferry	19-50831	This matter has been settled. Plaintiff intends to file a dismissal.
Elizabeth Haskell	19-50839	This matter has been settled. Plaintiff intends to file a dismissal once the settlement payment has been received in full.
Hart Placement Agency, Inc.	19-50847	This matter has been settled. Plaintiff intends to file a dismissal once the settlement payment has been received in full.
Christopher J Watson	19-50848	An entry of default has been entered by the Clerk. This matter has been settled. Plaintiff intends to file a dismissal.
Kathy Hagen, in her Capacity as Trustee to the Kathy A. Hagen Declaration of Trust Dated March 2,1998; Kathy Hagen	19-50869	This matter has been settled. Plaintiff intends to file a dismissal once the settlement payment has been received in full.
JAL Poultry and Swine Farms LLC	19-50873	This matter has been settled. Plaintiff intends to file a dismissal.
Ascensus, LLC, Custodian for the Benefit of John C. Miller IRA, John C. Miller	19-50875	This matter has been settled. Plaintiff intends to file a dismissal.
John R. Burns, in his capacity as Trustee of the John R. Burns Trust, John R. Burns	19-50891	An entry of default has been entered by the Clerk. This matter has been settled. Plaintiff intends to file a dismissal.

Defendant(s)	Adv. Case No.	Comment
Leiah Kitare	19-50901	This matter has been settled. Plaintiff intends to file a dismissal once the settlement payment has been received in full.
James D. Lawless, in his capacity as Trustee of the Lawless Trust, James D. Lawless, Doris Lawless	19-50902	This matter has been settled. Plaintiff intends to file a dismissal once the settlement payment has been received in full.
Edward Boyack, Solely in his Capacity as Special Administrator of the Estate of William Perry, a/k/a Herbert Perry; Ascensus, LLC d/b/a Provident Trust Group, Custodian for the Benefit of Herbert Perry	19-50909	This matter has been settled and will be dismissed pending filing of Stipulation to Reduce/Allow claim.
Michael Kandravi	19-50914	This matter has been settled. Plaintiff intends to file a dismissal once the settlement payment has been received in full.
Kim Butler	19-50917	This matter has been settled. Plaintiff intends to file a dismissal once the settlement payment has been received in full.
Asset Management Consultants of NC, Inc. and Carlton Scott Phillips	19-50929	This matter has been settled. Plaintiff intends to file a dismissal once the settlement payment has been received in full.
John Fagan	19-50947	This matter has been settled. Plaintiff intends to file a dismissal once the settlement payment has been received in full.
James A. Klohn & Assoc., P.A.	19-50989	This matter has been settled. Plaintiff intends to file a dismissal.
NAA Insurance Agency, Corp.	19-50990	The Court entered an Order for Default Judgement on 4/5/21. This matter has been settled. Plaintiff intends to file a dismissal.
Matthew Gilchrist	19-50997	This matter has been settled. Plaintiff intends to file a dismissal once the settlement payment has been received in full.
Daniel P. Orfin	19-51005	This matter has been settled. Plaintiff intends to file a dismissal once the settlement payment has been received in full.

Defendant(s)	Adv. Case No.	Comment
Ronaldo G. Espiritu	19-51017	Defendant filed motion to dismiss. Parties are in negotiations.
Harris Financial Management and John G. Harris	19-51011	This matter has been settled. Plaintiff intends to file a dismissal once the settlement payment has been received in full.
Yanitsha M. Feliciano	19-51015	This matter has been settled. Plaintiff intends to file a dismissal once the settlement payment has been received in full.
Theresa Sheridan	19-51026	This matter has been settled. Plaintiff intends to file a dismissal once the settlement payment has been received in full.
Crosier Financial, Inc., d/b/a Crosier Financial, John Reed Crosier	19-51045	This matter has been settled. Plaintiff intends to file a dismissal once the settlement payment has been received in full.
Wieniewitz Financial LLC, Trae Wieniewitz	19-51062	This matter has been settled and a stipulated judgment is being filed with the Court.
To The Max Marketing, Inc.	19-51066	This matter has been settled. Plaintiff intends to file a dismissal.
Brian Scott, Margaret Scott	19-51143	This matter has been settled. Plaintiff intends to file a dismissal.

STATUS CATEGORY F: List all cases where service is complete, answer has been filed, discovery/disclosures are underway, and expected date of completion of discovery/disclosure.

Defendant(s)	Adv. Case No.	Comment
Aspen Glen, Inc., ClubCorp, Inc.	19-50858	Answer filed. Parties have informally exchanged position letters & documents. Parties are making an effort to resolve this matter and if unable to will enter into a scheduling order.
Mercedes-Benz International Services LTD	19-50860	Answer filed. Parties have informally exchanged documents. Parties are making an effort to resolve this matter and if unable to will seek to amend the complaint.
Maxwell Financial Group, Inc.	19-50915	The defendant submitted initial disclosures on 4/15/21.
Woodbridge v. Monsoon Blockchain Storage	19-50102	The parties are preparing a scheduling order for submission to the Court.
Uma Gajavada	19-51046	The defendant has requested documents from the plaintiff and the response deadline is 4/24/21.

STATUS CATEGORY G: Mediator has been selected or appointed.

Please note: this category also includes the omnibus mediator report.

Defendant(s) and Adv. Case No.	Appointed/ Selected Mediator	Mediation Completed on (Date)	Mediation Scheduled to Occur on (Date)	A mediation session needs to be scheduled, but the mediator has been unable to arrange a date and time	Other
Stefan Kolosenko 19-50301	Leslie Berkoff, Esq.		3/18/21		Mediation was held and the parties continue to work towards resolving this matter.
Alexander S. Aduna, Emma R. Aduna 19-50307	Ian Conner Bifferato, Esq.		4/13/21		Mediation was held and the parties continue to work towards resolving this matter.
Sylvan R. Jutte, Jeannette E. Jutte 19-50308	Ian Conner Bifferato, Esq.		6/3/21		
Brian D. Korkus, Robin L. Korkus 19-50309	Ian Conner Bifferato, Esq.		6/21/21		
Russell Bullis; Betsy Bullis 19-50310	Lucian B. Murley, Esq.				SETTLED
Mary M. Noyes, Gale E. Noyes 19-50312	Ian Conner Bifferato, Esq.		5/6/21		
Delton Christman, Jean Christman 19-50314	Ian Conner Bifferato, Esq.		4/27/21		
Floyd G Davis, Lavonne J. Davis 19-50317	Ian Conner Bifferato, Esq.		5/26/21		
George T. Iwahiro, Charlene M. Iwahiro 19-50319	Ian Conner Bifferato, Esq.		3/12/21		Mediation was held and the parties continue to work towards resolving this matter.
Toomas Heinmets, Pamela Heinmets 19-50322	Ian Conner Bifferato, Esq.		4/2/21		Mediation was held and the parties continue

Defendant(s) and Adv. Case No.	Appointed/ Selected Mediator	Mediation Completed on (Date)	Mediation Scheduled to Occur on (Date)	A mediation session needs to be scheduled, but the mediator has been unable to arrange a date and time	Other
					to work towards resolving this matter.
Richard E. Attig, Stephanie L. Attig 19-50325	Lucian B. Murley, Esq.		4/19/21		SETTLED
Jason Curtis 19-50327	Derek C. Abbott, Esq.		6/30/21		
Janet V. Dues 19-50328	Ian Conner Bifferato, Esq.		6/7/21		
Dena Falkenstein 19-50329	Ian Conner Bifferato, Esq.		5/14/21		
Judy Karen Goodin 19-50330	Ian Conner Bifferato, Esq.		5/21/21		
Dennis W. Hueth 19-50331	Ian Conner Bifferato, Esq.		4/26/21	Mediation to be - rescheduled.	
Christian Lester 19-50332	Ian Conner Bifferato, Esq.		5/26/21		
Joseph Lin 19-50334	Ian Conner Bifferato, Esq.		4/21/21		SETTLED
Jane Marshall 19-50335	Ian Conner Bifferato, Esq.		5/19/21		
Laurence J. Nakasone 19-50337	Ian Conner Bifferato, Esq.		4/28/21		
Blaine Phillips 19-50338	Ian Conner Bifferato, Esq.		5/12/21		
George Edward Sargent 19-50340	Ian Conner Bifferato, Esq.		4/29/21	Mediation to be - rescheduled.	
Jeff Schuster 19-50341	Ian Conner Bifferato, Esq.		5/19/21		
Jennifer Tom 19-50342	Ian Conner Bifferato, Esq.		5/14/21		
Anita Bedoya, Mark Bedoya 19-50343	Ian Conner Bifferato, Esq.		5/21/21		
Anita Bedoya, Julian Duran 19-50344	Ian Conner Bifferato, Esq.		5/21/21		
Ronald Cole 19-50346	Ian Conner Bifferato, Esq.		5/12/21		
Ronald Draper 19-50347	Ian Conner Bifferato, Esq.		5/28/21		

Defendant(s) and Adv. Case No.	Appointed/ Selected Mediator	Mediation Completed on (Date)	Mediation Scheduled to Occur on (Date)	A mediation session needs to be scheduled, but the mediator has been unable to arrange a date and time	Other
Lawrence J. Paynter 19-50351	Ian Conner Bifferato, Esq.		6/1/21		
Nannette Tibbitts 19-50353	Ian Conner Bifferato, Esq.		5/28/21		
Marie Podkowinski 19-50559	Leslie Berkoff, Esq.				SETTLED
Donald L. Engle Jr. 19-50566	Leslie Berkoff, Esq.				SETTLED
Klenell Jensen 19-50575	Judith K. Fitzgerald, Esq.		5/4/21		
Mainstar Trust, Custodian for the Benefit of John Korbierecki, John Korbierecki 19-50578	Lucian B. Murley, Esq.		3/22/21		SETTLED
IRA Services Trust Company, Custodian for the Benefit of Dwight L. Atherton IRA,S Dwight L Atherton 19-50581	Derek C. Abbott, Esq.				SETTLED
Ascensus, LLC, Custodian for the Benefit of Deborah J. Murphy IRA, Deborah J. Murphy 19-50583	Derek C. Abbott, Esq.		6/23/21		
Ascensus, LLC, Custodian for the Benefit of Larry A. Norton IRA, Larry A. Norton 19-50586	Judith K. Fitzgerald, Esq.		4/6/21		SETTLED
IRA Services Trust Company, Custodian for the Benefit of Ivan Orr, Ivan Orr 19-50588	Judith K. Fitzgerald, Esq.			Mediation to be scheduled.	
Ascensus, LLC, Custodian for the Benefit of Sheryl A.	Ian Connor Bifferato, Esq.			Mediation to be scheduled.	

Defendant(s) and Adv. Case No.	Appointed/ Selected Mediator	Mediation Completed on (Date)	Mediation Scheduled to Occur on (Date)	A mediation session needs to be scheduled, but the mediator has been unable to arrange a date and time	Other
Whitlock IRA, Sheryl A. Whitlock 19-50604					
Anthony Arthur Meola Jr., T'ee of the Anthony Meola 2008 Trust; Anthony Arthur Meola Jrd. 19-50741					SETTLED
Mainstar Trust, Custodian for the Benefit of Timothy Hawley, Timothy Hawley 19-50750	Ian Conner Bifferato, Esq.		4/14/21		SETTLED
Irmgard Herrmann 19-50752	Ian Conner Bifferato, Esq.		3/30/21		Mediator continues to work with parties to resolve the matter.
Christ Temple Baptist Church 19-50756	Ian Conner Bifferato, Esq.		4/16/21		SETTLED
Sherry L. Collver 19-50794	Leslie Berkoff, Esq.				SETTLED
Mainstar Trust, Custodian for the Benefit of Jeanne Marie Spezia; Jeanne Marie Spezia 19-50806	Leslie Berkoff, Esq.	3/5/21			SETTLED
IRA Services Trust Company, Custodian for the Benefit of Lynette Eddy IRA, Lynette Eddy 19-50808	Judith K. Fitzgerald, Esq.	3/9/21			SETTLED
IRA Services Trust Company, Custodian For The Benefit of Earl Eddy IRA, Earl Eddy 19-50814	Judith K. Fitzgerald, Esq.	3/9/21			SETTLED

Defendant(s) and Adv. Case No.	Appointed/ Selected Mediator	Mediation Completed on (Date)	Mediation Scheduled to Occur on (Date)	A mediation session needs to be scheduled, but the mediator has been unable to arrange a date and time	Other
Marcella P. Best 19-50819	Leslie Berkoff, Esq.		4/1/21		Mediation was held and the parties continue to work towards resolving this matter.
Althea McCormick 19-50823	Ian Conner Bifferato, Esq.		6/24/21		
Kirk W Chubka 19-50826	Judith K. Fitzgerald, Esq.		6/22/21		
Mary Ellen Nuhn 19-50829	Judith K. Fitzgerald, Esq.		6/16/21		
Sharon R. Ferry 19-50831	Lucian B. Murley, Esq.				SETTLED
Clayton Nakasone 19-50832	Ian Conner Bifferato, Esq.		4/28/21		
Provident Trust Group, LLC, administrator and custodian for the benefit of Kerstin Rodriguez IRA; Kerstin Rodriguez 19-50837	Derek C. Abbott, Esq.		6/23/21		
Hart Placement Agency, Inc. 19-50847	Judith K. Fitzgerald, Esq.				SETTLED
Robert Elmer 19-50850	Ian Conner Bifferato, Esq.		4/13/21		SETTLED
Peter Greenberg 19-50855	Ian Conner Bifferato, Esq.	2/16/21			Mediated but settlement not reached
Kathy Hagen 19-50869	Lucian B. Murley, Esq.				SETTLED
Fred Randhahn; Karen Randhahn; Ascensus, LLC d/b/a Provident Trust Group, Custodian for the Benefit of Antelope Women's Center 401K PSP for the Benefit of Karen Randhahn; Kronos Global Advisors, Inc.	Ian Conner Bifferato, Esq.		5/7/21		

Defendant(s) and Adv. Case No.	Appointed/ Selected Mediator	Mediation Completed on (Date)	Mediation Scheduled to Occur on (Date)	A mediation session needs to be scheduled, but the mediator has been unable to arrange a date and time	Other
19-50908					
Phillip Ball (aka Larry Ball) 19-50913	Judith K. Fitzgerald, Esq.		6/17/21		
Michael Kandravi 19-50914	Ian Conner Bifferato, Esq.				SETTLED
Kim Butler (aka Partners for Prosperity) 19-50917	Leslie Berkoff, Esq.				SETTLED
Joseph Rubin Inc., a New York corporation, Joseph Rubin 19-50918	Judith K. Fitzgerald, Esq.		4/27/21		SETTLED
All Mark Insurance Services, Inc., Cameron Johnson 19-50921	Leslie Berkoff, Esq.			Mediation continued to date to be determined	
Chris Dantin Financial Services, LLC, Chris A. Dantin, Sr. 19-50922	Derek C. Abbott, Esq.				STIPULATED JUDGMENT
Danny Van Houten 19-50927	Judith K. Fitzgerald, Esq.				SETTLED
Asset Management Consultants of NC, Inc.; Carlton Scott Phillips 19-50929			3/15/21		SETTLED
Retirement Services LLC 19-50931	Leslie Berkoff, Esq.		5/27/21		
Frontier Advisors Group LLC, David Nichols 19-50945	Derek C. Abbott, Esq.		4/30/21		
Eric Little 19-50944	Lucian B. Murley, Esq.		4/26/21		
John Fagan 19-50947	Ian Conner Bifferato, Esq.				SETTLED
Harvey & Companies 19-50950	Ian Conner Bifferato, Esq.			Mediation Scheduling in process	

Defendant(s) and Adv. Case No.	Appointed/ Selected Mediator	Mediation Completed on (Date)	Mediation Scheduled to Occur on (Date)	A mediation session needs to be scheduled, but the mediator has been unable to arrange a date and time	Other
Ivy League College Planning Strategies, Inc., Michael Rappa 19-50951	Judith K. Fitzgerald, Esq.		7/7/21		
James Lamont 19-50952	Ian Conner Bifferato, Esq.		4/15/21		Mediation was held and the parties continue to work towards resolving this matter.
Gregg W. Butler 19-50958	Leslie Berkoff, Esq.		5/13/21		
Darin Baker 19-50961	Judith K. Fitzgerald, Esq.		6/18/21		
Gerard J. O'Neill 19-50964	Ian Conner Bifferato, Esq.				SETTLED
James E. Campbell Jr. Inc. (d/b/a Campbell Financial Corp.), and James E. Campbell, Jr. 19-50965	Lucian B. Murley, Esq.		4/19/21		Mediation was held and the parties continue to work towards resolving this matter.
Dime Strategies, Inc.; Ronald P. Diez 19-50966	Derek C. Abbott, Esq.		4/16/21		Mediation went forward-no settlement was reached.
David Keledjian 19-50973	Ian Conner Bifferato, Esq.		6/21/21		
Harold Plain 19-50974	Leslie Berkoff, Esq.		5/20/21		
Joseph W. Isaac 19-50976	Leslie Berkoff, Esq.		5/11/21		
Joseph A. Loox 19-50978	Leslie Berkoff, Esq.		5/13/21		
Donovan Knowles 19-50980	Judith K. Fitzgerald, Esq.		3/16/21		SETTLED
Gregory Jandt 19-50981	Ian Conner Bifferato, Esq.		4/8/21		Mediation was held and the parties continue to work towards resolving this matter.

Defendant(s) and Adv. Case No.	Appointed/ Selected Mediator	Mediation Completed on (Date)	Mediation Scheduled to Occur on (Date)	A mediation session needs to be scheduled, but the mediator has been unable to arrange a date and time	Other
James A. Klohn & Associates, PA 19-50989	Ian Conner Bifferato, Esq.				DISMISSAL IN PROCESS
John J. McNamara 19-50998	Ian Conner Bifferato, Esq.				SETTLED
David Valencia, Valencia Financial Services, LLC 19-51000	Leslie Berkoff, Esq.				SETTLED
Bette Tydings 19-51002	Derek C. Abbott, Esq.			Mediation Scheduling in Process	
Ricki Dean Wiggs a/k/a Ricki Wiggs 19-51003	Ian Conner Bifferato, Esq.		7/12/21		
Retirement Planning Solutions, LLC, Gordon Hannah 19-51004	Derek C. Abbott, Esq.				SETTLED
Daniel P. Orfin 19-51005					SETTLED
Dennis Drake, Mid- Atlantic Brokers, Inc. 19-51006	Ian Conner Bifferato, Esq.	2/10/21			Mediated but settlement not reached
Dan Reisinger 19-51007	Ian Conner Bifferato, Esq.		6/29/21		
Richard Anthony Miller 19-51008	Lucian B. Murley, Esq.		4/5/21		SETTLED
John Harris d/b/a Harris Financial Management 19-51011	Judith K. Fitzgerald, Esq.				SETTLED
Gaulan Financial LLC 19-51012	Derek C. Abbott, Esq.		4/30/21		
Yanitsha M. Feliciano 19-51015					SETTLED
Legacy Financial Network and Retirement Services, Inc. and Jeffrey Nimmow 19-51016	Lucian B. Murley, Esq.			Mediation continued to date to be determined.	

Defendant(s) and Adv. Case No.	Appointed/ Selected Mediator	Mediation Completed on (Date)	Mediation Scheduled to Occur on (Date)	A mediation session needs to be scheduled, but the mediator has been unable to arrange a date and time	Other
Searchlight Financial Advisors, LLC, Caroline Rakness 19-51022	Leslie Berkoff, Esq.		3/11/21		SETTLED
Michael P. Litwin 19-51023	Ian Conner Bifferato, Esq.		5/24/21		
Kenneth Halbert 19-51027	Ian Conner Bifferato, Esq.		5/10/21		
Safety of Principle, Inc. 19-51029	Derek C. Abbott, Esq.		5/11/21		
Bank of America Corp. 19-51031	Ian Conner Bifferato, Esq.		5/7/21		SETTLED
Smithson Financial Group 19-51032	Lucian B. Murley, Esq.		5/6/21		
Alfred S. Malianni, In His Capacity As Co- Trustee Of The Alfred S. And Gail E. Malianni Revocable Living Trust January 15, 2011; Gail E. Malianni, in her capacity as co-trustee of the Alfred S. and Gail E. Malianni Revocable Living Trust January 15, 2011; Alfred S. Malianni; Gail E. Malianni 19-51034	Lucian B. Murley, Esq.				Resolved in principle and being documented
Basic Financial Services Inc., Basic Wealth Advisors, Inc., Fred C. Johnson 19-51039	Ian Conner Bifferato, Esq.			Mediation Scheduling in process	
TWH Annuities & Insurance Agency, Inc., Gryphon Financial Services 19-51042	Derek C. Abbott, Esq.		6/16/21		
Sycamore Group, Inc., Bender W. Mackey	Ian Conner Bifferato, Esq.		6/28/21		

Defendant(s) and Adv. Case No.	Appointed/ Selected Mediator	Mediation Completed on (Date)	Mediation Scheduled to Occur on (Date)	A mediation session needs to be scheduled, but the mediator has been unable to arrange a date and time	Other
19-51043					
Jay N. Brown 19-51047	Derek C. Abbott, Esq.		6/15/21		
Christopher M. Soulier 19-51050	Lucian B. Murley, Esq.			Mediation Scheduling in process	
Structured Strategies, LLC, Alan K. Hoffman 19-51067	Judith K. Fitzgerald, Esq.		6/15/21		
To the Max Marketing, Inc. 19-51066	Ian Conner Bifferato, Esq.				SETTLED
Deb Brundage 19-51069	Lucian B. Murley, Esq.		3/29/21		Mediation was held and the parties continue to work towards resolving this matter.
Matthew Schwartz, Matte Black Inc. 19-51077	Ian Conner Bifferato, Esq.		6/29/21		
Ascensus, LLC, Custodian for the Benefit of Gail Marie Bush IRA, Gail Marie Bush, Gail Marie Bush as Trustee of the Gail Marie Bush Trust Dated 12/21/2001 19-51133	Ian Conner Bifferato, Esq.		3/30/21		SETTLED
Harry R. Culotta, in his Capacity as Trustee of the Harry R. Culotta Trust Dated 11/16/16, Harry R. Culotta 19-51138	Ian Conner Bifferato, Esq.		4/21/21		SETTLED

STATUS CATEGORY J: List all cases which are stayed by the filing of another bankruptcy action affecting a party in this matter.

Defendant(s)	Adv. Case No.	Comment
Randy Robertson	19-50977	Defendant filed for ch. 7 bankruptcy.
Rochelle Berman, In Her Capacity As Trustee Of The Rochelle Berman Trust, Rochelle Berman	19-50892	Defendant filed for ch. 13 bankruptcy on 1/16/20 in the U.S. Bankruptcy Court for the Southern District of Florida.
JetsuiteX, Inc.	19-50856	Defendant filed for ch. 11 bankruptcy.
David A. Scholl	19-50926	Defendant filed for ch. 13 bankruptcy.
Robert M. Linderman	19-50932	Defendant filed for ch. 7 bankruptcy.
Ronnie Weller	19-50948	Defendant filed for ch. 7 bankruptcy.
Mainstar Trust, Administrator and Custodian for the Benefit of Stacey Renee Maxted T2176604, Stacey Renee Maxted, Gerbera, LLC	19-50956	Defendant Stacey Renee Maxted filed for ch. 7 bankruptcy.

EXHIBIT 1

	Defendant(s)	Adv. Case No.
1.	Comerica Bank v. Beynon Family Trust, et al.	18-50382
2.	Wendel, et al	18-50818
3.	Monsoon Blockchain Storage	19-50102
4.	Aaron R. Andrew, Paramount Financial Services, Inc.	19-50186
5.	Stefan Kolosenko	19-50301
6.	Paula Rinkovsky	19-50304
7.	Alexander S. Aduna, Emma R. Aduna	19-50307
8.	Sylvan R. Jutte, Jeannette E. Jutte	19-50308
9.	Brian D. Korkus, Robin L. Korkus	19-50309
10.	Russell Bullis, Betsy Bullis	19-50310
11.	Mary M. Noyes, Gale E. Noyes	19-50312
12.	Delton Christman, Jean Christman	19-50314
13.	Floyd G Davis, Lavonne J. Davis	19-50317
14.	George T. Iwahiro, Charlene M. Iwahiro	19-50319
15.	Thomas H. Haag, Joanne P. Haag	19-50320
16.	Toomas Heinmets, Pamela Heinmets	19-50322
17.	Richard E. Attig, Stephanie L. Attig	19-50325
18.	Jason Curtis	19-50327
19.	Janet V. Dues	19-50328
20.	Dena Falkenstein	19-50329
21.	Judy Karen Goodin	19-50330
22.	Dennis W. Hueth	19-50331
23.	Christian Lester	19-50332
24.	Joseph Lin	19-50334
25.	Jane Marshall	19-50335
26.	Laurence J. Nakasone	19-50337
27.	Blaine Phillips	19-50338
28.	George Edward Sargent	19-50340
29.	Jeff Schuster	19-50341
30.	Jennifer Tom	19-50342
31.	Anita Bedoya, Mark Bedoya	19-50343
32.	Anita Bedoya, Julian Duran	19-50344
33.	Ronald Cole	19-50346
34.	Ronald Draper	19-50347

35.	Lawrence J. Paynter	19-50351
36.	Nannette Tibbitts	19-50353
37.	Ascensus, LLC, Custodian for the Benefit of Claro Chen IRA, Claro Chen	19-50558
38.	Marie Podkowinski	19-50559
39.	Mainstar Trust, Custodian for the Benefit of Diana Sehl, Diana Sehl	19-50564
40.	Ascensus, LLC, Custodian for the Benefit of Donald L. Engle Jr. IRA, Donald L. Engle Jr.	19-50566
41.	Mainstar Trust, Custodian for the Benefit of Daniel K. Gwinn, Daniel K. Gwinn	19-50571
42.	Klenell Jensen	19-50575
43.	Mainstar Trust, Custodian for the Benefit of John Korbierecki, John Korbierecki	19-50578
44.	IRA Services Trust Company, Custodian for the Benefit of Dwight L. Atherton IRA,S Dwight L Atherton	19-50581
45.	Ascensus, LLC, Custodian for the Benefit of Deborah J. Murphy IRA, Deborah J. Murphy	19-50583
46.	Ascensus, LLC, Custodian for the Benefit of Larry A. Norton IRA, Larry A. Norton	19-50586
47.	IRA Services Trust Company, Custodian for the Benefit of Ivan Orr, Ivan Orr	19-50588
48.	IRA Services Trust Company, Custodian for the Benefit of Michael D. Loring IRA, Michael D Loring	19-50597
49.	Ascensus, LLC, Custodian for the Benefit of Marie Walters-Gill IRA, Marie Walters-Gill	19-50600
50.	Ascensus, LLC, Custodian for the Benefit of Sheryl A. Whitlock IRA, Sheryl A. Whitlock	19-50604
51.	Ascensus, LLC, Custodian for the Benefit of Catherine Williams IRA, Catherine Williams	19-50606
52.	Dane R. Roseman (a/k/a Dayne R. Roseman) and Precise Investment Group, LLC	19-50695
53.	Ascensus, LLC, Custodian for the Benefit of Elizabeth A. Janovsky IRA, Elizabeth A. Janovsky	19-50700
54.	Sumner Abramson, in his capacity as Trustee of the Sumner Abramson Revocable Living Trust, Sumner Abramson	19-50740
55.	Anthony Arthur Meola Jr., in his capacity as Trustee of the Anthony Arthur Meola Jr. 2008 Trust, Anthony Arthur Meola Jr.	19-50741
56.	Terry B. Griffin, in his capacity as Trustee of the Griffin Family Trust, Terry B. Griffin	19-50744
57.	Mainstar Trust, Custodian for the Benefit of Timothy Hawley, Timothy Hawley	19-50750
58.	Mainstar Trust, Custodian for the Benefit of Ronald R. Smith, Ronald R Smith	19-50751
59.	Irmgard Herrmann	19-50752
60.	Jose Reta	19-50755

61.	Christ Temple Baptist Church	19-50756
62.	Thomas V. Rasmussen, in his Capacity as Trustee of the Thomas V. & Georgia S. Rasmussen Family Living Trust, Thomas V Rasmussen, Georgia S Rasmussen	19-50757
63.	Provident Trust Group, LLC, Custodian for the Benefit of John B. Smith IRA, John B Smith	19-50760
64.	Raymond M. Chambers, Sarah E. Chambers	19-50777
65.	Robert W. Haskins, Neoma F. Haskins	19-50779
66.	Kenneth R. Carberry	19-50787
67.	Roderick P. Fries	19-50789
68.	John M. Schroeder	19-50790
69.	Del Wittler	19-50791
70.	Mainstar Trust, Custodian for the Benefit of Teri Lambertz, Teri Lambertz	19-50793
71.	Mainstar Trust, Custodian for the Benefit of Sherry L. Collver, Sherry L. Collver	19-50794
72.	Alan Mickelson	19-50803
73.	Katherine Vander Werff, in her capacity as Trustee of the Peter & Katherine Vander Werff Revocable Trust; Peter Vander Werff; Katherine Vander Werff	19-50804
74.	Ramsay McCue	19-50805
75.	Mainstar Trust, Custodian for the Benefit of Jeanne Marie Spezia; Jeanne Marie Spezia	19-50806
76.	Dolores Schulze, in her capacity as Trustee of The Schulze Family Revocable Living Trust Agreement Dated 05/26/05; Dolores Schulze	19-50807
77.	IRA Services Trust Company, Custodian for the Benefit of Lynette Eddy IRA, Lynette Eddy	19-50808
78.	IRA Services Trust Company, Custodian For The Benefit of Vicki Andren IRA, Vicki Andren	19-50813
79.	IRA Services Trust Company, Custodian For The Benefit of Earl Eddy IRA, Earl Eddy	19-50814
80.	Gwendolyn Bisette	19-50815
81.	Frances Fernandez	19-50816
82.	Helen S. Fong	19-50818
83.	Marcella P. Best	19-50819
84.	IRA Services Trust Company, Custodian For The Benefit of Bret J. Parent IRA, Bret J. Parent	19-50821
85.	Rebecca K Wittler	19-50822
86.	Althea McCormick	19-50823
87.	Karen I Clara	19-50824
88.	Kirk W Chubka	19-50826
89.	Ascensus, LLC d/b/a Provident Trust Group, Custodian for the Benefit of Angela Chatham IRA, Angela Chatham	19-50828

90.	Mary Ellen Nuhn	19-50829
91.	Ascensus, LLC d/b/a Provident Trust Group, Custodian for the Benefit of Sharon R. Ferry IRA, Sharon R. Ferry	19-50831
92.	Clayton Nakasone	19-50832
93.	Barbara Lois Feldman	19-50833
94.	Ascensus, LLC d/b/a Provident Trust Group, Custodian for the Benefit of Clifton Hartley IRA, Clifton Hartley	19-50834
95.	Ascensus, LLC d/b/a Provident Trust Group, Custodian for the Benefit of Martha C. Maclean Roth IRA, Martha C. Maclean	19-50835
96.	Provident Trust Group, LLC, administrator and custodian for the benefit of Kerstin Rodriguez IRA; Kerstin Rodriguez	19-50837
97.	Elizabeth Haskell	19-50839
98.	Heidi M Pilant	19-50841
99.	Anna Santacroce	19-50844
100.	Logan Turrentine	19-50845
101.	Karen Vlasak	19-50846
102.	Hart Placement Agency, Inc.	19-50847
103.	Christopher J Watson	19-50848
104.	Robert Elmer	19-50850
105.	Peter Greenberg	19-50855
106.	JetsuiteX, Inc.	19-50856
107.	Aspen Glen, Inc., ClubCorp, Inc.	19-50858
108.	Mercedes-Benz International Services LTD	19-50860
109.	Ian Rubin Insurance Agency, Inc.	19-50863
110.	Ascensus, LLC d/b/a Provident Trust Group, Custodian for the Benefit of Thomas A. Piazza IRA, Thomas A Piazza	19-50867
111.	I. Cleveland Huff, in his Capacity as Trustee of the Huff Irrevocable Trust Dated 12/10/12, I Cleveland Huff	19-50868
112.	Kathy Hagen, in her Capacity as Trustee to the Kathy A. Hagen Declaration of Trust Dated March 2,1998; Kathy Hagen	19-50869
113.	Judith L. Werner, in her Capacity as Trustee of the Werner Family Living Trust 03/02/00, Michael P. Werner, in his Capacity as Trustee of the Werner Family Living Trust 03/02/00, Judith L. Werner, Michael P. Werner	19-50871
114.	JAL Poultry and Swine Farms LLC	19-50873
115.	Gilchrist Metal Fabricating Co., Inc.	19-50874
116.	Ascensus, LLC, Custodian for the Benefit of John C. Miller IRA, John C. Miller	19-50875
117.	Lavinia Mitrea, Daniel Mitrea	19-50876
118.	Donald Abney, Lee Ann Abney	19-50881
119.	Billy McNeese, Sally McNeese	19-50883

120.	John R. Burns, in his capacity as Trustee of the John R. Burns Trust, John R. Burns	19-50891
121.	Rochelle Berman, In Her Capacity As Trustee Of The Rochelle Berman Trust, Rochelle Berman	19-50892
122.	IALT Enhanced Income Portfolio 1 LLC, IALT Portfolio Management, LLC, Devon Mason	19-50895
123.	Leiah Kitare	19-50901
124.	James D. Lawless, in his capacity as Trustee of the Lawless Trust, James D. Lawless, Doris Lawless	19-50902
125.	Martin Schneider	19-50903
126.	Fred Randhahn; Karen Randhahn; Ascensus, LLC d/b/a Provident Trust Group, Custodian for the Benefit of Antelope Women's Center 401K PSP for the Benefit of Karen Randhahn; Kronos Global Advisors, Inc.	19-50908
127.	Edward Boyack, Solely in his Capacity as Special Administrator of the Estate of William Perry, a/k/a Herbert Perry; Ascensus, LLC d/b/a Provident Trust Group, Custodian for the Benefit of Herbert Perry	19-50909
128.	IRA Services Trust Company, Custodian for the Benefit of Harold L. Lustig IRA; Harold L. Lustig	19-50910
129.	Phillip Ball (aka Larry Ball)	19-50913
130.	Michael Kandravi	19-50914
131.	Maxwell Financial Group, Inc.	19-50915
132.	JRH Marketing, Inc.	19-50916
133.	Kim Butler	19-50917
134.	Joseph Rubin Inc., a New York corporation, Joseph Rubin	19-50918
135.	All Mark Insurance Services, Inc., Cameron Johnson	19-50921
136.	Chris Dantin Financial Services, LLC, Chris A. Dantin, Sr.	19-50922
137.	Life Plan Advisors Inc.	19-50924
138.	Bradford Solutions, LLC, Marcus Bray	19-50925
139.	David A. Scholl	19-50926
140.	Danny Van Houten	19-50927
141.	Christopher Longworth	19-50928
142.	Asset Management Consultants of NC, Inc. and Carlton Scott Phillips	19-50929
143.	Annua Group LLC	19-50930
144.	Retirement Services LLC	19-50931
145.	Robert M. Linderman	19-50932
146.	Old Security Financial Group Inc.	19-50933
147.	Prestige Insurance Services, LLC	19-50934
148.	Thomas Doherty	19-50936
149.	Vlchetr Thong	19-50937

150.	David Johnston	19-50943
151.	Eric Little	19-50944
152.	Frontier Advisors Group LLC, David Nichols	19-50945
153.	John Fagan	19-50947
154.	Dayspring Advisors Group LLC, Ronnie Weller	19-50948
155.	Harvey & Companies, Inc., Ronald J. Harvey	19-50950
156.	Ivy League College Planning Strategies, Inc., Michael Rappa	19-50951
157.	James Lamont	19-50952
158.	Gary L. Burke	19-50954
159.	Mainstar Trust, Administrator and Custodian for the Benefit of Stacey Renee Maxted T2176604, Stacey Renee Maxted, Gerbera, LLC	19-50956
160.	Morgan J. Commodore	19-50957
161.	Gregg W. Butler	19-50958
162.	Patrick Gatbonton	19-50959
163.	Integrity Plus Consulting, Inc., Sean P. Renninger	19-50960
164.	Darin Baker	19-50961
165.	Jeffrey DeAngelis	19-50962
166.	Peter Derienzo	19-50963
167.	Gerard J. O'Neill	19-50964
168.	James E. Campbell Jr. Inc. (d/b/a Campbell Financial Corp.), and James E. Campbell, Jr.	19-50965
169.	Dime Strategies, Inc.; Ronald P. Diez	19-50966
170.	Talbert Wealth; Steven Glick	19-50967
171.	Ascensus, LLC d/b/a Provident Trust Group, Custodian for the Benefit of Geneva W. Guilbeaux IRA; Diana Engelhardt, Solely in her Capacity as Executrix to the Estate of Geneva W. Guilbeaux; Diana Engel Solely in her Capacity as Executrix to the Estate of Charles D. Guilbeaux	19-50968
172.	RH Principled Investments, and Raymond Han	19-50970
173.	Thomas Masztak	19-50971
174.	Jacob A. Weiss	19-50972
175.	David Keledjian	19-50973
176.	Harold Plain	19-50974
177.	David Roitfarb	19-50975
178.	Joseph W. Isaac	19-50976
179.	Randy Robertson	19-50977
180.	Joseph A. Loox	19-50978
181.	Donovan Knowles	19-50980
182.	Gregory Jandt	19-50981

183.	Albert Payne	19-50982
184.	The Three Fourteen Company, Andres Pina	19-50983
185.	Gregory Neal Johnson	19-50985
186.	Jacqueline Suarez	19-50986
187.	James A. Klohn & Assoc., P.A.	19-50989
188.	NAA Insurance Agency, Corp.	19-50990
189.	Shanoid A. Mays	19-50993
190.	Queen B Services	19-50995
191.	Reliant Group 360 Corp.	19-50996
192.	Matthew Gilchrist	19-50997
193.	John J. McNamara	19-50998
194.	John E. McEnerney	19-50999
195.	David Valencia, Valencia Financial Services, LLC	19-51000
196.	Bette Tydings	19-51002
197.	Ricki Dean Wiggs a/k/a Ricki Wiggs	19-51003
198.	Retirement Planning Solutions, LLC, Gordon Hannah	19-51004
199.	Daniel P. Orfin	19-51005
200.	Dennis Drake, Mid-Atlantic Brokers, Inc.	19-51006
201.	Dan Reisinger	19-51007
202.	Richard Anthony Miller	19-51008
203.	Bruce Moore	19-51009
204.	John Harris d/b/a Harris Financial Management	19-51011
205.	Gaulan Financial LLC	19-51012
206.	Gene H. Langenberg	19-51013
207.	Yanitsha M. Feliciano	19-51015
208.	Legacy Financial Network and Retirement Services, Inc. and Jeffrey Nimmow	19-51016
209.	Ronaldo G. Espiritu	19-51017
210.	William Deaton	19-51019
211.	Security Financial, LLC, Ameritrust Advisors of SC, LLC	19-51020
212.	Michael Robinson	19-51021
213.	Searchlight Financial Advisors, LLC, Caroline Rakness	19-51022
214.	Michael P. Litwin	19-51023
215.	Theresa Sheridan	19-51026
216.	Kenneth Halbert	19-51027
217.	Roxanne Trent	19-51028
218.	Safety of Principle, Inc.	19-51029
219.	Security First Financial, LLC, Jerald Kagarise	19-51030

220.	Bank of America Corporation	19-51031
221.	Smithson Financial Group	19-51032
222.	Alfred S. Malianni, In His Capacity As Co-Trustee Of The Alfred S. And Gail E. Malianni Revocable Living Trust January 15, 2011; Gail E. Malianni, in her capacity as co-trustee of the Alfred S. and Gail E. Malianni Revocable Living Trust January 15, 2011; Alfred S. Malianni; Gail E. Malianni	19-51034
223.	JP Snyder, Inc.	19-51035
224.	Alternative Portfolio Solutions LLC and Richard Renshaw	19-51036
225.	Annuity Alternatives of America, LLC	19-51037
226.	Glen D. Barnes	19-51038
227.	Basic Financial Services Inc., Basic Wealth Advisors, Inc., Fred C. Johnson	19-51039
228.	Jace T. McDonald, Adams EZ Tax, LLC	19-51040
229.	TWH Annuities & Insurance Agency, Inc., Gryphon Financial Services	19-51042
230.	Sycamore Group, Inc., Bender W. Mackey	19-51043
231.	Crosier Financial, Inc., d/b/a Crosier Financial, John Reed Crosier	19-51045
232.	Uma Gajavada	19-51046
233.	Jay N. Brown	19-51047
234.	Christopher M. Soulier	19-51050
235.	Barbara A. Weiser, Jay R. Weiser	19-51051
236.	Ascensus, LLC, Administrator and Custodian for the Benefit of Qun Hong Yin Roth IRA, Qun Hong Yin, Qun Hong Yin, as Trustee of the Qun Hong Domissy Yin Living Trust	19-51052
237.	Floyd E. Powell	19-51056
238.	Faithway Financial Solutions LLC	19-51057
239.	Tangible Assets Investments, LLC, Charles Thorngren	19-51058
240.	American Prosperity LLC, Taylor Ogden	19-51060
241.	Shelburne Management, LLC, Dennis Carpenter	19-51061
242.	Wieniewitz Financial LLC, Trae Wieniewitz	19-51062
243.	Sesco Benefit Services, Inc., Peter Holler	19-51064
244.	BCM Benefits Inc., Rance Bradshaw	19-51065
245.	To The Max Marketing, Inc.	19-51066
246.	Structured Strategies, LLC, Alan K. Hoffman	19-51067
247.	S.J. Financial Services, L.L.C., Glenn Johnson	19-51068
248.	Deb Brundage	19-51069
249.	Forecast Financial Group LLC, Forrest Financial LLC, Gary Forrest	19-51070
250.	Eduardo G. Diaz, Diaz Retirement Consultants	19-51071

251.	Robert Shapiro, Jeri Shapiro, 3X A Charm, LLC, Carbondale Basalt Owners, LLC, Davanna Sherman Oaks Owners, LLC, In Trend Staging, LLC, Midland Loop Enterprises, LLC, Schwartz Media Buying Company, LLC, Stover Real Estate Partners, LLC	19-51076
252.	Matthew Schwartz, Matte Black Inc.	19-51077
253.	Scott Schwartz, Up and Coming Capital, LLC, Up and Coming, LLC	19-51078
254.	Albert D. Klager, Atlantic Insurance & Financial Services Inc.	19-51079
255.	Ascensus, LLC, Custodian for the Benefit of Gail Marie Bush IRA, Gail Marie Bush, Gail Marie Bush as Trustee of the Gail Marie Bush Trust Dated 12/21/2001	19-51133
256.	Harry R. Culotta, in his Capacity as Trustee of the Harry R. Culotta Trust Dated 11/16/16, Harry R. Culotta	19-51138
257.	FIC, LLC	19-51140
258.	Brian Scott, Margaret Scott	19-51143
259.	Kevin Martin	19-51144
260.	Comerica Bank	20-50452